

#4



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,647	04/04/2001	Ginette Serrero	A7542.0000/P001-E

CONFIRMATION NO. 2662

FORMALITIES LETTER



OC000000007703837

James W. Brady, Jr.
DICKSTEIN SHAPIRO MORIN & OSHINSKY
2101 L. Street NW
Washington, DC 20037-1526



Date Mailed: 03/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*


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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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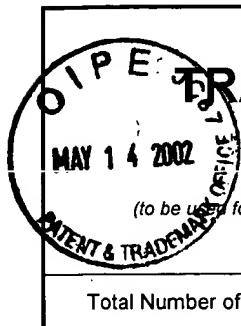


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PTO/SB/21 (08-00)

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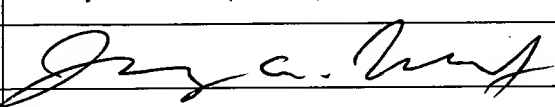
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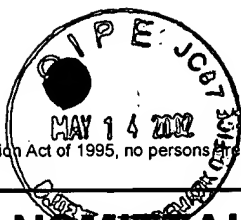
	TRANSMITTAL FORM	Application Number	09/824,647
		Filing Date	April 4, 2001
		First Named Inventor	Dr. Ginette Serrero
		Group Art Unit	1642
		Examiner Name	N. Davis
Total Number of Pages in This Submission		Attorney Docket Number	A7542.0000/P001-E

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (15 pages) (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input checked="" type="checkbox"/> Power of Attorney (2 pages)	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration (4 pages)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Recordation Form (1 page)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Jeremy A. Cubert (40,399)
Signature	
Date	May 14, 2002



<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2002</h3> <p style="margin: 0; font-size: small;">Patent fees are subject to annual revision.</p>		<p style="margin: 0; font-weight: bold;">Complete if Known</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/824,647-Conf. #2662</td> </tr> <tr> <td>Filing Date</td> <td>April 4, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Dr. Ginette Serrero</td> </tr> <tr> <td>Examiner Name</td> <td>N. Davis</td> </tr> <tr> <td>Group Art Unit</td> <td>1642</td> </tr> <tr> <td>Attorney Docket No.</td> <td>A7542.0000/P001-E</td> </tr> </table>		Application Number	09/824,647-Conf. #2662	Filing Date	April 4, 2001	First Named Inventor	Dr. Ginette Serrero	Examiner Name	N. Davis	Group Art Unit	1642	Attorney Docket No.	A7542.0000/P001-E																																																																																																																																																																																							
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ADDITIONAL FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td>65.00</td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet.</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for <i>ex parte</i> reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																																		
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																																		
109	84	209	42	** Reissue independent claims over original patent																																																																																																																																																																																																		
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																		
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<p>SUBMITTED BY</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Name (Print/Type) Jeremy A. Cubert</td> <td style="width: 20%;">Registration No. (Attorney/Agent) 40,399</td> <td style="width: 30%;">Telephone (202) 828-4817</td> </tr> <tr> <td>Signature </td> <td>Date May 14, 2002</td> <td></td> </tr> </table>				Name (Print/Type) Jeremy A. Cubert	Registration No. (Attorney/Agent) 40,399	Telephone (202) 828-4817	Signature	Date May 14, 2002																																																																																																																																																																																														
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Docket No.: A7542.0000/P001-E
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Dr. Ginette Serrero

Application No.: 09/824,647

Group Art Unit: 1642

Filed: April 4, 2001

Examiner: N. Davis

For: 88KDA TUMORIGENIC GROWTH
FACTOR AND ANTAGONISTS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

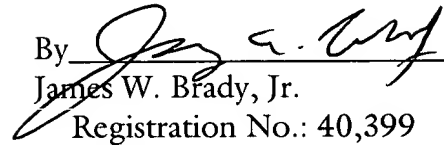
In response to the Notice to File Missing Parts of Application – Filing Date
Granted mailed March 25, 2002, Applicant respectfully submits a Declaration, a Power of
Attorney, and an Assignment.

Our check in the amount of \$105.00 covering the fee set forth in 37 CFR
1.16(e) and the recordation fee is enclosed. The Commissioner is hereby authorized to
charge any deficiency in the fees filed, asserted to be filed or which should have been filed
herewith (or with any paper hereafter filed in this application by this firm) to our Deposit
Account No. 04-1073, under Order No. A7542.0000/P001-E.

Dated: May 14, 2002

Respectfully submitted,

By



James W. Brady, Jr.

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Jeremy A. Cubert

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